



Form PTO/SB/08A (Modified)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 8

**Complete if known**

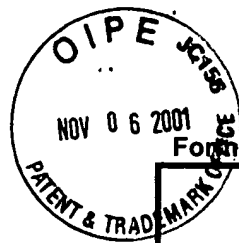
Application Number	09/726,953
Filing Date	November 29, 2000
First Named Inventor	ROD ROSS
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	156673-0008 (P009)

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			
FHD	1.	5,916,330		Jacobson	06-29-1999	
	2.	5,941,250		Aramant et al.	08-24-1999	
	3.	377,524		Lipp	01-21-1997	
	4.	5,868,728		Giungo et al.	02-09-1999	
	5.	5,474,532		Steppe	12-12-1995	
	6.	5,527,332		Clement	06-18-1996	
	7.	5,556,397		Long et al.	09-17-1996	
	8.	5,527,356		Peyman et al.	06-18-1996	
	9.	5,611,799		Smith	03-18-1997	
	10.	5,465,633		Bernloehr	11-14-1995	
	11.	5,700,240		Barwick, Jr. et al.	12-23-1997	
	12.	5,476,473		Heckele	12-19-1995	
	13.	5,787,760		Thorlakson	08-04-1998	
	14.	5,380,280		Peterson	01-10-1995	
	15.	5,374,188		Frank et al.	12-20-1994	
	16.	5,520,684		Imran	05-28-1996	
	17.	5,395,368		Ellman et al.	03-07-1995	
	18.	5,364,395		West, Jr.	11-15-1994	
	19.	5,782,849		Miller	07-21-1998	
	20.	5,403,311		Abele et al.	04-04-1995	
	21.	5,403,276		Schechter et al.	04-04-1995	
	22.	5,643,304		Schechter et al.	07-01-1997	
	23.	5,437,678		Sorensen	08-01-1995	
	24.	5,354,268		Peterson et al.	10-11-1994	
	25.	5,330,470		Hagen	07-19-1994	
	26.	5,531,744		Nardella et al.	07-02-1996	
	27.	5,273,524		Fox et al.	12-28-1993	
	28.	5,285,795		Ryan et al.	02-15-1994	
	29.	5,201,749		Sachse et al.	04-13-1993	
	30.	5,217,459		Kamerling	06-08-1993	
	31.	5,271,379		Phan et al.	12-21-1993	
	32.	5,106,364		Hayafuji et al.	04-21-1992	
	33.	5,226,910		Kajiyama et al.	07-13-1993	
	34.	5,322,505		Krause et al.	06-21-1994	
	35.	5,176,628		Charles et al.	01-05-1993	

Examiner: Fadi H. DahbourDate Considered: 8/22/02 4/25/03

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Sheet	2	of	8	Attorney Docket Number	156673-0008 (P009)	

FHD	36.	5,059,204		Lawson et al.	10-22-1991	
	37.	5,817,075		Giungo	10-06-1998	
	38.	4,965,417		Massie	10-23-1990	
	39.	4,838,281		Rogers et al.	06-13-1989	
	40.	5,207,683		Goode et al.	05-04-1993	
	41.	5,507,751		Goode et al.	04-16-1996	
	42.	4,943,289		Goode et al.	07-24-1990	
	43.	4,988,347		Goode et al.	01-29-1991	
	44.	5,011,482		Goode et al.	04-30-1991	
	45.	5,013,310		Goode et al.	05-07-1991	
	46.	4,819,635		Shapiro	04-11-1989	
	47.	4,837,857		Scheller et al.	06-06-1989	
	48.	5,019,076		Yamanashi et al.	05-28-1991	
	49.	4,723,545		Nixon et al.	02-09-1988	
	50.	4,768,506		Parker et al.	09-06-1988	
	51.	4,770,654		Rogers et al.	09-13-1988	
	52.	4,840,175		Peyman	06-20-1989	
	53.	4,757,814		Wang et al.	07-19-1988	
	54.	4,706,687		Rogers	11-17-1987	
	55.	4,589,414		Yoshida et al.	05-20-1986	
	56.	4,523,911		Braetsch et al.	06-18-1985	
	57.	4,524,948		Hall	06-25-1985	
	58.	4,522,371		Fox et al.	06-11-1985	
	59.	4,540,406		Miles	09-10-1985	
	60.	4,530,357		Pawloski et al.	07-23-1985	
	61.	4,475,904		Wang	10-09-1984	
	62.	4,531,934		Kossovsky et al.	07-30-1985	
	63.	4,555,645		Atkinson	11-26-1985	
	64.	4,598,729		Naito et al.	07-08-1986	
	65.	4,493,695		Cook	01-15-1985	
	66.	4,474,411		Peters et al.	10-02-1984	
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	68.	4,396,386		Kurtz et al.	08-02-1983	
	69.	4,354,838		Hoyer et al.	10-19-1982	
	70.	4,481,948		Sole	11-13-1984	
	71.	4,493,698		Wang et al.	01-15-1985	
	72.	4,395,258		Wang et al.	07-26-1983	
	73.	4,308,385		Goorden	12-29-1981	
	74.	4,428,748		Peyman et al.	01-31-1984	
	75.	4,301,802		Poler	11-24-1981	
↓	76.	4,314,560		Heifgott et al.	02-09-1982	

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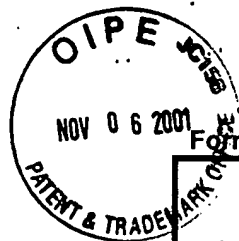
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FHD	77.	4,274,411		Dotson, Jr.	06-23-1981	
	78.	4,245,815		Willis	01-20-1981	
	79.	4,805,616		Pao	02-21-1989	
	80.	4,476,862		Pao	10-16-1984	
	81.	4,674,499		Pao	06-23-1987	
	82.	4,210,146		Banko	07-01-1980	
	83.	4,246,902		Martinez	01-27-1981	
	84.	4,217,993		Jess et al.	08-19-1980	
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	86.	4,178,707		Littlefield	12-18-1979	
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	88.	4,108,182		Hartman et al.	08-22-1978	
	89.	4,320,761		Haddad	03-23-1982	
	90.	4,137,920		Bonnet	02-06-1979	
	91.	4,308,835		Abbey	01-05-1982	
	92.	4,223,676		Wuchinich et al.	09-23-1980	
	93.	4,011,869		Seiler, Jr.	03-15-1977	
	94.	3,986,512		Walliser	10-19-1976	
	95.	4,043,342		Morrison, Jr.	08-23-1977	
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	98.	4,135,515		Muriot	01-23-1979	
	99.	3,913,584		Walchle et al.	10-21-1975	
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	101.	3,899,829		Storm et al.	08-19-1975	
	102.	3,977,425		Hayashida	08-31-1976	
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	104.	3,930,505		Wallach	01-06-1976	
	105.	3,815,604		O'Malley et al.	06-11-1974	
	106.	3,884,238		O'Malley et al.	05-20-1975	
	107.	3,920,014		Banko	11-18-1975	
	108.	3,752,161		Bent	08-14-1973	
	109.	3,763,862		Spieth	10-09-1973	
	110.	3,693,613		Kelman	09-26-1972	
	111.	3,624,821		S. A. Henderson	11-30-1971	
	112.	3,561,429		W. R. Jewett	02-09-1971	
	113.	3,399,677		J. C. Gould et al.	09-03-1968	
	114.	3,252,623		T. H. Corbin et al.	05-24-1966	
	115.	3,266,494		G. K. A. Brownrigg et al.	08-16-1966	
✓	116.	3,308,828		C. C. Pippin	03-14-1967	

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<b>Sheet</b>	4	<b>of</b>	8		

**U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code			
FHD	117.	6,045,563		Duprat	04-04-2000	
	118.	6,059,805		Sugimura et al.	05-09-2000	
	119.	6,086,544		Hibner et al.	07-11-2000	
	120.	6,165,189		Zierner	12-26-2000	
	121.	6,013,049		Rockley et al.	01-11-2000	
	122.	5,989,272		Barron et al.	11-23-1999	
	123.	6,083,236		Feingold	07-04-2000	
	124.	5,944,731		Hanna	08-31-1999	
	125.	5,957,921		Mirhashemi et al.	09-28-1999	
	126.	5,779,723		Schwind	07-14-1998	
	127.	6,051,009		Hellenkamp et al.	04-18-2000	
	128.	5,693,013		Geuder	12-02-1997	
	129.	5,814,010		Ziegler	09-29-1998	
	130.	5,566,681		Manwaring et al.	10-22-1996	
	131.	5,624,394		Barnitz et al.	04-29-1997	
	132.	5,795,328		Barnitz et al.	08-18-1998	
	133.	5,476,448		Urich	12-19-1995	
	134.	Re. 35,421		Ruiz et al.	01-07-1997	
	135.	5,704,927		Gillette et al.	01-06-1998	
	136.	5,496,339		Koepnick	03-05-1996	
	137.	5,810,857		Mackool	09-22-1998	
	138.	5,738,677		Colvard et al.	04-14-1998	
	139.	5,242,404		Conley et al.	09-07-1993	
	140.	5,273,406		Feygin	12-28-1993	
	141.	5,383,454		Bucholz	01-24-1995	
	142.	5,133,713		Huang et al.	07-28-1992	
	143.	5,133,726		Ruiz et al.	07-28-1992	
	144.	4,903,695		Warner et al.	02-27-1990	
	145.	4,886,085		Miller	12-12-1989	
	146.	5,215,104		Steinert	06-01-1993	
	147.	4,997,437		Grieshaber	03-05-1991	
	148.	4,828,306		Blatt	05-09-1989	
	149.	4,825,091		Breyer et al.	04-25-1989	
	150.	4,865,033		Krumeich et al.	09-12-1989	
	151.	4,767,403		Hodge	08-30-1988	
✓	152.	4,782,849		Hodge	11-08-1988	

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Filing Date	November 29, 2000
First Named Inventor	ROD ROSS
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	156673-0008 (P009)

FHD	153.	4,830,047		Hodge	05-16-1989	
	154.	4,743,770		Lee	05-10-1988	
	155.	4,791,934		Brunnett	12-20-1988	
	156.	4,807,623		Lieberman	02-28-1989	
	157.	4,782,239		Hirose et al.	11-01-1988	
	158.	4,665,914		Tanne	05-19-1987	
	159.	4,690,099		Gregan et al.	09-01-1987	
	160.	4,805,615		Carol	02-21-1989	
	161.	4,884,570		Krumeich et al.	12-05-1989	
	162.	4,660,556		Swinger et al.	04-28-1987	
	163.	4,647,209		Neukomm et al.	03-03-1987	
	164.	4,662,370		Hoffmann et al.	05-05-1987	
	165.	4,660,970		Ferrano	04-28-1987	
	166.	4,705,395		Hageniers	11-10-1987	
	167.	4,678,459		Onik et al.	07-07-1987	
	168.	4,701,049		Beckmann et al.	10-20-1987	
	169.	4,479,717		Cornillault	10-30-1984	
	170.	4,493,695		Cook	01-15-1985	
	171.	Re. 33,250		Cook	07-03-1990	
	172.	4,429,696		Hanna	02-07-1984	
	173.	4,688,570		Kramer et al.	08-25-1987	
	174.	4,674,503		Peyman et al.	06-23-1987	
	175.	4,493,698		Wang et al.	01-15-1985	
	176.	4,304,262		Icking	12-08-1981	
	177.	4,205,682		Crock et al.	06-03-1980	
	178.	4,173,980		Curtin	11-13-1979	
	179.	4,034,712		Duncan	07-12-1977	
	180.	3,983,474		Kuipers	09-28-1976	
	181.	3,812,855		Banko	05-28-1974	
	182.	3,841,799		Spinosa et al.	10-15-1974	
	183.	3,723,030		Gelfand	03-27-1973	
	184.	3,693,613		Kelman	09-26-1972	
	185.	3,882,872		Douvas et al.	05-13-1975	
	186.	3,589,363		Banko	06-29-1971	
	187.	3,583,403		Fritz Georg Pohl	06-08-1971	
	188.	2,480,737		G. Jean-Edward Jayle	08-30-1949	
	189.	3,033,196		W. W. Hay	05-08-1962	
	190.	2,824,455		E. W. Ristow et al.	02-25-1958	
	191.	2,708,437		S. P. R. Hutchins	05-17-1955	
	192.	23,496		H. Seeler	05-20-1952	
↓	193.	2,070,281		V. Leggiadro	02-09-1937	

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FHD	194.	1,847,658		E. Lasker	03-01-1932	
FHD	195.	1,841,968		N. H. Lowry	01-19-1932	

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Examiner Initials	Cite No.	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Office	Number	Kind Code			
FHD	196.		EP 1033 120 A2		Nidek Co., Ltd.	06-09-1000	
FHD	197.		DT 25 47 185		Hennig	04-28-1977	
FHD	198.		FR 2 549 727		Antonini et al.	07-08-1963	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS		
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
FHD	199.	Steinway Instrument Company Inc., The Steinway/Barraquer in-Situ Microkeratome Set.
FHD	200.	Brochure, Site TXR Systems, Site Mycosurgical Systems, Inc., Horsham, Pennsylvania.
FHD	201.	Marshall M. Parks, "Intracapsular Aspiration" article, pp. 59-74.
FHD	202.	Van Oldenborgh, "Correction of late operative complications by means of a suction cutter", Ophthal. Soc. U.K. (1980), 100, 219, PP. 219-221.
FHD	203.	Helfgott, M.D. "A System for Variable Aspiration of Material Dissected from the Posterior Chamber", Ophthalmic Surgery, Vol. 15, June 1984, pp. 529-350.
FHD	204.	Coopervision Brochure on Cavitron/Kelman Model 6500 E.I.S. and Model 7500, 6 pages.
FHD	205.	Surgical Design Brochure on "The Ocusystem", 1 page.

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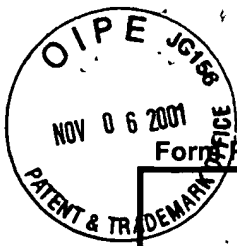
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FHD	206.	Coopervision Brochure on "Cavitron/Kelman Phaco-Emulsifier Aspirator Model 8001", 2 pages.
FHD	207.	Coopervision Brochure on Cavitron/Kelman Phaco-Emulsifier Aspirator Model 9001, 6 pages.
FHD	208.	Greishaber of Switzerland Brochure on "MPC, The Membrane Peeler Cutter", 5 pages.
FHD	209.	Micro-Vit Vitrectomy System Product Brochure and Instruction Manual.
FHD	210.	Storz Irrigation Aspiration System Product Brochure and Instruction Manual.
FHD	211.	United Surgical Corporation Brochure on "Phacotron Plus", one page.
FHD	212.	Surgical Design Company Brochure on Keates "Ultrasonic I/E Mini Probe by A. Banko, 2 pages.
FHD	213.	Surgical Design Corporation Brochure on U.S., Phaco System, 1 page.
FHD	214.	Coopervision Brochure on System VI, 1 page.
FHD	215.	Murayama et al. "A Portable Air Driving Unit for Blood Pumps", Japanese Journal of Artificial Organs, Vol. 14, No. 3, pp. 1206-1209 (English Translation).
FHD	216.	Scuderi, et al., French article entitled "La Chirurgie de la Cartaracte Congenitale", pp. 174-185. (English translation).
FHD	217.	Hayashi et al., Japanese Experience with Ventricular Assist Devices IBEE Engineering in Medicine and Biology Magazine March 1986, pp. 30-36.
FHD	218.	Grieshaber and Co. of Switzerland, "Sutherland Rotatable Intraocular Microscissors", 2 pages.

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FHD	219.	JCERS AND TISSUE REMOVAL SYSTEMS, Dissector™ System, Rapid Tissue Removal System advertisement.
FHD	220.	Charles and Wang, "A Linear Suction Control for the Vitreous Cutter (Ocutome)", Arch. Ophthalmol. Vol. 99, Sept. 1981, p. 1631.
FHD	221.	Crosby, "On Control of Artificial Hearts", pp. 89-114.
FHD	222.	Mrava, Cardiac Engineering, Vol. 3, pp. 31-68.

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